

# Symmetry-constrained relaxation in FHI-aims

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# Overview

## What do we have in FHI-aims?

- ▶ Full relaxation that *breaks* the symmetry in many cases
- ▶ Option to constrain
  - ▶ Full atoms
  - ▶ Individual Cartesian atom coordinates
  - ▶ Full lattice vectors
  - ▶ Individual lattice vector components
  - ▶ Angles between lattice vectors
- ▶ Option to use reciprocal lattice to initialize Hessian to relax cubic and hexagonal structures (Florian)

## What do we want?

- ▶ Symmetry-constrained relaxation that *preserves*
  - ▶ Spacegroup
  - ▶ Pointgroup
  - ▶ Structure Prototype (Wyckoff positions)

## Express structure through symmetry-reduced parameters

$$\begin{array}{c} \nearrow \\ \mathbf{R} = \mathbf{A} \cdot \mathbf{P} \quad \longleftarrow \text{M-dim.} \\ \text{vector} \end{array}$$

$(9+3N)$ -dim. vector       $(9+3N) \times M$ -dim. transformation matrix

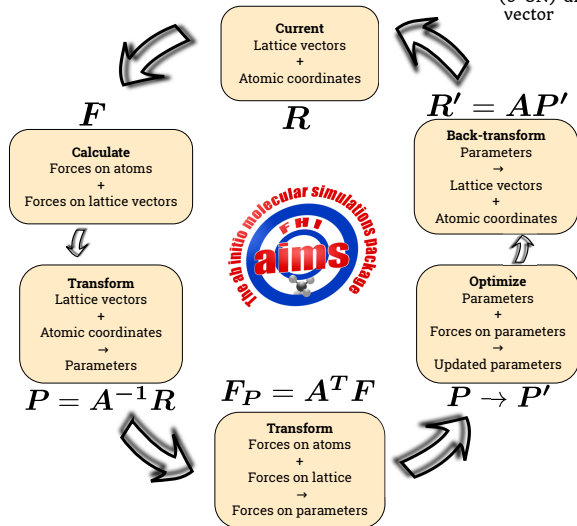
- ▶ Flattened vectors of full coordinates  $\mathbf{R}$  and reduced parameter space  $\mathbf{P}$  can be converted using transformation matrix  $\mathbf{A}$
- ▶ Example: Orthorhombic lattice described by lattice parameters  $a, b$  and  $c$

$$\begin{array}{l} \mathbf{a} = (a \ 0 \ 0) \\ \mathbf{b} = (0 \ b \ 0) \\ \mathbf{c} = (0 \ 0 \ c) \end{array} \quad \rightarrow \text{flatten} \rightarrow \begin{pmatrix} a \\ 0 \\ 0 \\ 0 \\ b \\ 0 \\ 0 \\ 0 \\ c \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$

# Workflow of the constrained relaxation

$$R = A \cdot P$$

$\nearrow$   $R$  (9+3N)-dim. vector  
 $\uparrow$   $A$  (9+3N)xM-dim. transformation matrix  
 $\leftarrow$   $P$  M-dim. vector



## Example input file: Rutile-Ti<sub>2</sub>O<sub>4</sub>

```
lattice_vector 4.5922000000000000 0.0000000000000000 0.0000000000000000
lattice_vector 0.0000000000000000 4.5922000000000000 0.0000000000000000
lattice_vector 0.0000000000000000 0.0000000000000000 2.9600000000000000
atom_frac 0.0000000000000000 0.0000000000000000 0.0000000000000000 Ti
atom_frac 0.5000000000000000 0.5000000000000000 0.5000000000000000 Ti
atom_frac 0.3000000000000000 0.3000000000000000 0.0000000000000000 O
atom_frac -0.3000000000000000 -0.3000000000000000 0.0000000000000000 O
atom_frac 0.2000000000000000 0.8000000000000000 0.5000000000000000 O
atom_frac 0.8000000000000000 0.2000000000000000 0.5000000000000000 O

symmetry_n_params 3 2 1
symmetry_params a c x2
symmetry_lv a , 0 , 0
symmetry_lv 0 , a , 0
symmetry_lv 0 , 0 , c
symmetry_frac 0 , 0 , 0
symmetry_frac 1./2 , 1./2 , 1./2
symmetry_frac x2 , x2 , 0
symmetry_frac -x2 , -x2 , 0
symmetry_frac 1./2 - x2 , 1./2 + x2 , 1./2
symmetry_frac 1./2 + x2 , 1./2 - x2 , 1./2
```

Symmetry paragraph:

- ▶ defines symmetry-reduced parameters
- ▶ gives form of structure in these parameters

We have only 3 free parameters instead of 27 ( $9 + 3n_{\text{atoms}}$ ).

# Capabilities of symmetry-constrained relaxation

- ▶ Relax even **unstable or meta-stable structures** within their symmetry
- ▶ Add or remove certain parameters to
  - ▶ Conserve / break a **local symmetry**
  - ▶ Create user-defined symmetries
- ▶ Monitor forces that would break the symmetry during standard relaxation

# Relaxation in symmetry-reduced parameter space

## Example: Si-bcc (not stable at 0K)

	Initial	standard	symm-constr.
Spacegroup	229	12	229
Pointgroup	m-3m	2/m	m-3m
$\Delta E$ (meV)	0	-243	-192
Volume ( $a_B^3$ )	18.5	14.6	14.7
# Steps		44	5

→ Symmetry analysis using AFLOW with standard settings

# Outlook

## Integration with AFLOW Prototypes<sup>1</sup>

- ▶ geometry.in files can already be generated with AFLOW
- ▶ David Hicks (Curtarolo Group in Duke) works on outputting analytic expressions too

Example: for TiO<sub>2</sub> Rutile with parameters a,c/a,x1

```
aflow --proto=A2B.tP6_136.f_a:O:Ti --params=4,1.5,0.2 --aims
```

## Implementation for monoclinic and triclinic crystal systems

- ▶ No linear matrix transformations possible because of expressions like  $c \cdot \sin(\beta)$  → Ideas welcome...

Substitution of variables as possible solution, e.g. for monoclinic case:

$$\begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ c \cos(\beta) & 0 & c \sin(\beta) \end{pmatrix} \rightarrow \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ d & 0 & e \end{pmatrix} \quad (1)$$

<sup>1</sup> M. J. Mehl, D. Hicks, C. Toher, O. Levy, R. M. Hanson, G. Hart, and S.